

## **Method and Apparatus for Melody Representation and Matching for Music Retrieval**

### **5 Field of the Invention**

The present invention relates to a method and apparatus for melody representation and matching for music retrieval and refers particularly, though not exclusively, to such a method and apparatus for content-based music retrieval and music retrieval  
10 by acoustic input.

### **Background to the Invention**

Due to the increasing availability of digital music content, effective retrieval of  
15 relevant data is becoming very important. Query-by-humming is the most natural querying method for music retrieval since an average person can hum much better than they can play a musical instrument, or some other means. Also, when raising the query the relevant musical instrument may not be available. However a hummed melody can easily have tremendous variations in pitch and tempo. This  
20 poses a critical challenge for music retrieval by humming:

1. the hummed query may contain pitch inaccuracies;
2. the hummed query may be produced at an unknown or even inconsistent tempo (speed);
3. the hummed query may be anywhere in the target melody (not just the  
25 beginning);
4. the hummed query may be in a different key. For example, a female may use a high key, while a male may use a low key.

### **Summary of the Invention**

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This invention in one preferred aspect relates to a method for melody representation comprising the steps:

- (a) converting a melody to a pitch-time series;
- (b) approximating the pitch-time series to a sequence of line segments in a  
35 time domain; and
- (c) mapping the sequence of line segments in time domain into a sequence of points in a value-run domain.

In a further preferred aspect the invention provides a method for creating a database of a plurality of melodies, the method comprising the steps, for each of  
5 the plurality of melodies:

- (a) converting the melody to a pitch-time series;
- (b) approximately the pitch-time series to a sequence of line segments in a time domain;
- (c) mapping the sequence of line segments in time domain into a sequence of  
10 points in a value-run domain; and
- (d) storing the sequence of points in the value run domain in the database.

In another preferred aspect, the invention provides a method for raising a query to compare an input melody with a plurality of melodies each stored in a database as  
15 a stored sequence of points in a value-run domain, the method comprising the steps:

- (a) converting the input melody to a pitch-time series;
- (b) approximating the pitch-time series to a sequence of line segments in a time domain;
- 20 (c) mapping the sequence of line segments in the time domain into a sequence of points in a value-run domain; and
- (d) comparing the sequence of points in the value-run domain for the input melody with each of the stored sequence of points for each of the plurality of melodies to determine a stored melody of the plurality of melodies that  
25 matches the input melody.

For all aspects, the sequence of points in the value-run domain for the input melody may be used to create an input melody skeleton; the input melody skeleton preferably comprising extreme points in the sequence of points. The input melody  
30 may be input as an analog audio signal; and pitch values may be measured as relative pitch, in semitones.

In step (a), a non-pitch part may be replaced by an immediately previous pitch value.

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The result of step (c) may be invariant to a tempo of the melody.

Comparing may be by sequentially comparing the melody skeleton with the stored melody skeleton until a match is found. Preferably, non-extreme points in the sequence of points are not considered in the matching process.

- 5 In yet another preferred aspect of the invention there is provided apparatus for enabling the raising of an input melody query of a plurality of stored data point sequences melodies in a database, the apparatus comprising;
- (a) a microphone for creating an input analog audio signal of the input melody;
  - (b) a pitch detecting a tracking module for determining pitch values in the input  
10 analog audio signal and generating a pitch value time series;
  - (c) a line segment approximation module for approximating the pitch value time series to a line segment series;
  - (d) a mapping module for mapping line segment series to a data point sequence; and
  - 15 (e) a melody search engine to perform a melody similarity matching procedure between the input melody data point sequence and each of the plurality of stored data point sequences in the database.

In a penultimate preferred aspect of the invention there is provided a computer  
20 usable medium comprising a computer program code that is configured to cause at least one processor to execute one or more functions for raising a query to compare an input melody with a plurality of melodies each stored in a database as a stored sequence of points in a value-run domain, by:

- (a) converting the input melody to a pitch-time series;
- 25 (b) approximating the pitch-time series to a sequence of line segments in a time domain;
- (c) mapping the sequence of line segments in the time domain into a sequence of points in a value-run domain; and
- (d) comparing the sequence of points in the value-run domain for the input  
30 melody with each of the sequence of, points in the value run domain of the plurality of melodies to determine a stored melody of the plurality of melodies that matches the input melody.

A final aspect of the invention provides method for raising a query to compare an  
35 input melody with a plurality of melodies each stored in a database and stored as a melody skeleton, the method comprising:

- (a) converting the input melody to an input melody skeleton;

- (b) comparing the input melody skeleton with the melody skeleton of each of the plurality of melodies to determine a stored melody of the plurality of melodies that matches the input melody.

5 The conversion of the input melody to the input melody skeleton may be by:

- (a) converting the input melody to a pitch-time series;
- (b) approximating the pitch-time series to a sequence of line segments in a time domain;
- (c) mapping the sequence of line segments in the time domain into a sequence of points in a value-run domain; and
- 10 (d) using extreme points in the sequence of points to form the input melody skeleton.

Each of the melody skeletons of the plurality of stored melodies may be formed by:

- 15 (a) converting the stored melody to a pitch-time series;
- (b) approximating the pitch-time series to a sequence of line segments in a time domain;
- (c) mapping the sequence of line segments in the time domain into a sequence of points in a value-run domain; and
- 20 (d) using extreme points in the sequence of points to form the melody skeleton.

Pitch values may be measured as relative pitch, in semitones.

- 25 In step (a), a non-pitch part may be replaced by an immediately previous pitch value.

Non-extreme points in the sequence of points are not considered in the matching process.

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### **Brief Descriptions of the Drawings**

- In order that the invention may be readily understood and put into practical effect there shall now be described by way of non-limitative example only preferred
- 35 embodiments of the present invention, the description being with reference to the accompanying illustrative drawings, in which:

- Figure 1 illustrates the architecture of a music retrieval system;  
Figure 2 illustrates the procedure for melody file processing;  
Figure 3 illustrates the procedure for melody query processing;  
Figure 4 illustrates the procedure for melody matching;  
5 Figure 5 illustrates melody represented by pitch value time series;  
Figure 6 illustrates melody represented by line segments;  
Figure 7 illustrates melody represented by data point sequence in value run domain;  
Figure 8 illustrates an alignment of two data point sequences;  
10 Figure 9 illustrates the most possible case of errors of extreme points;  
Figure 10 illustrates another four cases of errors in extreme points;  
Figure 11 illustrates the table for computing the distance between two data point sequences  $q[i]$  and  $t[i]$ ;  
Figure 12 illustrates the possible previous cells for  $(i,j)$  in melody skeleton  
15 matching;  
Figure 13 illustrates the mapping of data points in the final melody similarity measure;  
Figure 14 illustrates the dynamic programming table for aligning non-skeleton points in the final melody similarity measure; and  
20 Figure 15 illustrates six hummed queries of a same tune "Happy Birthday To You" using different tempos by different persons.

### Detailed Description of Preferred Embodiments

- 25 Throughout this specification all reference numerals commence with a prefix figure that denotes the Figure number. For example: 101 is element 1 on Figure 1. Like components or process steps have like reference numerals.

Figure 1 illustrates the architecture of a music retrieval system. Melody data files  
30 (101) will undergo melody file processing (102) and then be inserted together with melody features into a melody database (103). Melody data files are data files encoding the melody of a music art piece in the form of music notes. One example of a melody data file is a Musical Instrument Digital Interface ("MIDI") file. A melody query (104) will undergo melody query processing (105), and a melody  
35 search engine will then search (106) for melodies in the database (103) that are similar to the melody query (104). A melody query is a part of a melody in the

form of acoustic signals that are used for comparison with melodies in a database.  
The search result (107) is output.

Figure 2 illustrates the melody file processing procedure 102 of Figure 1. The input  
5 is the melody data file (208), such as a MIDI file, which contains the encoding of  
music notes. The monophonic melody notes (210) are then extracted (209) from  
the melody data file and are translated to a line segment sequence (211) based on  
the pitch values of the music notes. The line segment sequence (212) is mapped  
(213) to a data point sequence (214), which is in the value-run domain. The data  
10 point sequence (214) in value-run domain is the final representation and is stored  
in the database (103) for the comparison (106) with melody queries (104).

Mapping of a line segment sequence to points in the value-run domain may be by  
denoting a line segment sequence by  $(sv[i], sl[i])$ , where  $i$  is the sequence index  
15  $(1 \leq i \leq N)$ ,  $sv[i]$  is the value of the  $i^{\text{th}}$  line segment and  $sl[i]$  is the length of the  $i^{\text{th}}$   
line segment, and  $N$  is the number of line segments in the sequence. Each line  
segment  $(sv[i], sl[i])$  is mapped to a point  $(v[i], R[1,i])$  in the value run domain,  
where  $v[i]$  is still the sequence value  $sv[i]$  and  $R[1,i]$  is the value-run of  $sv[i]$  from the  
first line segment to the  $i^{\text{th}}$  line segment.

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Given a real valued data sequence  $v[i]$ , where  $i$  is the sequence index, the value-  
run from the  $j^{\text{th}}$  value to the  $k^{\text{th}}$  value  $R[j,k]$ :

$$R[j,k] = \sum_{i=j+1}^k |v[i] - v[i-1]|, \quad \text{if } k > j$$

$$25 \quad R[j,k] = 0, \quad \text{if } k = j$$

Figure 3 illustrates the melody query processing procedure (104). The acoustic  
query input (315) is produced and captured by a microphone. A pitch detection and  
tracking module finds the pitch values in the input (316), and generates a pitch  
30 value time series (317). The pitch value time series (317) is then translated (318) to  
a line segment sequence (319) by a line segment approximation module. The line  
segment sequence is then mapped (320) to a data point sequence by a mapping  
module, which is the same as the mapping for melody (321) file processing (214).  
The data point sequence of the querying melody will be compared with the data



point sequences (214) in the database (103) for music retrieval using the melody search engine.

5 An extreme point/line segment may be considered as being a local maximum or minimum point /line segment in a point/line segment sequence. The other points/segments are non-extreme points/line segments.

10 The extreme points in the data sequence for a melody may be used to create a melody skeleton, the melody skeleton being the extreme points in the data sequence for the melody.

Figure 4 illustrates the melody similarity matching procedure (106) between a query melody (104) and a target melody (101) in the database (103). The matching takes two steps and the query data point sequence 421 and target data point  
15 sequence 214 are used. The first step is the melody skeleton matching (423), which is based on the skeleton points of the data point sequences 421, 414. If the matching cannot find any possible candidates (423) in the target melody data point sequence, the current target melody is skipped and the matching is done for the next target melody (424). If a candidate is found at step 423, then a final detailed  
20 melody similarity is conducted (425) in the second step, and the similarity value is output (426) for a ranking.

Figure 5 shows two graphs illustrating a time series for a hummed query. In Figure 5(a) pitch values are measured in semitones. The absolute value of pitch is  
25 arbitrary and not important because it is only the relative pitch that is of concern. Therefore, pitch values are relative to the lowest pitch in the hummed query. The zero value in the plot stands for non-pitch (silence). Figure 5(b) illustrates the time series transcribed from the musical notes of a melody. The non-pitch part is replaced by the previous pitch value in order to avoid gaps in the plot. The melody  
30 concerned in Figure 5 is "Auld Lang Syne".

Figure 6 again shows two graphs – the first (a) being the same time series in Figure 5(a). Graph (b) show the line segments approximating the time series shown in (a). In Figure 6(b) the gaps (non-pitch frames) in 6(a) are padded to  
35 provide line segments approximating the query time series of (a).

Figure 7 has three graphs with (a) showing a line segment sequence [(3,20), (5,40), (8,30), (4,10), (6,50)] in time domain, (b) showing the corresponding data sequence in value-run domain [(0,3), (2,5), (5,8), (9,4), (11,6)], and (c) showing the points connected by dotted straight lines. In (b) and (c) the solid square points (A, C, D, and E) correspond to local maximum (peak) and minimum (valley) line segment in (a), and the hollow circle point (B) corresponds to the non-extreme line segment, the line segment B in (a).

Figure 8 contains two graphs (a) and (b) that illustrate two melody skeleton sequences. A good mapping between the two sequences is [(A1,B1), (A2,B2), (A3,B5), (A4,B6)]. In this mapping two points in (b) - B3 and B4 - are not mapped to any points in (a), to accommodate the possible errors.

The melody skeleton matching serves two roles. First, it locates only the likely candidates who have a skeleton similar to that of the query melody. Secondly it provides a proper alignment between the query data sequence and the candidate data subsequence. The first function is to filter out all incorrect candidates using a relatively small number of steps. The second function is to help conduct a detailed similarity measure match.

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Figure 9 shows two most probable cause of errors in matching extreme points. There are two graphs for both (a) and (b). The upper graph is of that in the database, and the lower graph is of that from a query. Graphs (a) show the case where the pitch is descending, and in graphs (b) the pitch is ascending. In both (a) and (b), the points E1 and E2 should be skipped in the matching. These two points are either incorrectly introduced or wrongly omitted in a query. Usually the two points E1 and E2 have a small pitch difference, since only small pitch level disturbance is likely to be introduced or omitted.

Figure 10 is similar to Figure 9 and illustrates some less likely causes of errors of extreme points. In these cases, (a)(b)(c)(d), four points E1, E2, E3, and E4 are skipped from mapping. The cause of the errors is the same as the previous cases. Note that all the extreme points of errors are preferably presented in pairs, such as (E1, E2) and (E3, E4). Other cases of errors of the extreme points may be considered when necessary.

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Figure 11 illustrates a table for the melody skeleton matching (422). A query data sequence is denoted as  $q[i]$ , where  $1 \leq i \leq m$ ,  $i$  is the index of the sequence, and  $m$  is the number of points in the sequence. The pitch value and value run of  $q[i]$  are denoted as  $qv[i]$  and  $qr[i]$ . A target data sequence is denoted as  $t[i]$ , where  
 5  $1 \leq i \leq n$ ,  $i$  is the index of the sequence, and  $n$  is the number of points in the sequence. The pitch value and value run of  $t[i]$  are denoted as  $tv[i]$  and  $tr[i]$ . For simplicity, assume  $n > m$ , and  $q[1]$  and  $t[1]$  are both peak points, or both valley points. The table is for calculating the distance between two sequences starting from  $q[1]$  and  $t[1]$ . A value of a cell in the table  $D_{ij}$  stands for the minimum  
 10 accumulated distance of  $(q[1], \dots, q[i])$  to  $(t[1], \dots, t[j])$ . Since a peak point does not match with a valley point, the distance values of the shaded cells in the table are not computed. There are two issues of concern:

- (1) computing the distance value in a cell; and
- 15 (2) tracing the path of an alignment that has the minimum distance. By using the accumulated distance for each cell  $(i,j)$  means  $D_{ij}$  equals a local distance added by the distance value  $D_{x,y}$  of a "previous" cell  $(x,y)$ .

Figure 12 illustrates possible "previous" cells of  $(i,j)$  depending on the possible  
 20 cases of point skipping shown in Figure 9 and 10. If the cell  $(i-1,j-1)$  is the previous cell, then it means there is no point skipping for  $D_{ij}$ . If  $(i-1,j-3)$  or  $(i-3,j-1)$  is the previous cell, then there is a 2-point-skipping, as in the case shown in Figure 9. If  $(i-1,j-5)$  or  $(i-5,j-1)$  is the previous cell, then there is a 4-point-skipping as in the case shown in Figure 10(a) and 10(b). If  $(i-3,j-3)$  is the previous cell, then there is a  
 25 4-point-skipping as in the case shown in Figure 10(c) and (d). Other possibilities of previous point for  $(i,j)$  may be considered when necessary.

With the possible previous cells for  $(i,j)$  given, the distance value for  $D_{ij}$  can then be determined.

$$D_{i,j} = d_{base}(i,j) + \min \begin{cases} D_{i-1,j-1} \\ D_{i-1,j-3} + P(i,-1,j,-3) \\ D_{i-3,j-1} + P(i,-3,j,-1) \\ D_{i-1,j-5} + P(i,-1,j,-5) \\ D_{i-5,j-1} + P(i,-5,j,-1) \\ D_{i-3,j-3} + P(i,-3,j,-3) \end{cases} \quad (1)$$

where  $i > 3$  or  $i > 5$  or  $j > 3$  or  $j > 5$  are required for the respective case to be considered.

$$d_{base}(i,j) = |qv(i) - tv(j) - \lambda| \quad (2)$$

$$\lambda = qv(1) - tv(1) \quad (3)$$

$$5 \quad P(i,-k,j,-l) = P_Q(i,k) + P_T(j,l) \quad (4)$$

$$P_Q(i,k) = 0, \text{ if } k = 1 \quad (5)$$

$$P_Q(i,k) = \eta \sum_{x=1}^{(k-1)/2} |qv(i-2x+1) - qv(i-2x)| \quad (6)$$

$$P_T(j,l) = 0, \text{ if } l = 1 \quad (7)$$

$$P_T(j,l) = \eta \sum_{x=1}^{(l-1)/2} |tv(j-2x+1) - tv(j-2x)| \quad (8)$$

- 10  $d_{base}(i,j)$  is the local distance between  $q[i]$  and  $t[j]$ , and  $\lambda$  is the shifting between  $q[1]$  and  $t[1]$ .  $P(i,-k,j,-l)$  is the penalty imposed for point skipping, in which  $P_Q(i,k)$  is the penalty for skipping points in query, and  $P_T$  is the penalty for skipping points in target. The penalty is based on the sum of the value differences of the pairs of points that are skipped.  $\eta$  is a weight for the penalties.

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The previous cell, which gives  $(i,j)$  the minimum distance value, is chosen and recorded. Another table, which looks like the table shown in Figure 11, is used for this. The cells of the table store the pointers to (or the index of) the respective chosen previous cells.

- 20 The border cells are initialized as:

$$D_{1,1} = 0;$$

$$D_{1,j} = \infty; \text{ for } j > 1$$

$$D_{i,1} = \infty; \text{ for } i > 1$$

since the alignment starts with  $q[1]$  and  $t[1]$ .

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The order of determination of distance values for other cells is from top to bottom, and from left to right. Since the possible previous cells and the border initialization are known, not all the cells in the table need to be determined because distance values of some cells are determined to be  $\infty$ . Furthermore, the value-run can also  
 5 be used to constrain the number of cells to be determined. For alignment, the mapped points from query sequence and target sequence preferably should not have a large difference in their value run after shifting the run difference between  $q[1]$  and  $t[1]$ .

10 After the determination of distance value of the cells, the best alignment is obtained by locating the  $D_{m,x} = \min_j D_{m,j}$ , which means  $(q[1], \dots, q[m])$  has the minimum accumulated distance with  $(t[1], \dots, t[x])$ , and  $D_{m,x}$  is the distance value.

The mapped path is obtained by tracing back from the cell  $(m,x)$  in the path table.  
 15 The tracing is stopped when the pointer points to cell  $(1,1)$ .

This may find the best subsequence of target sequence starting from  $t[1]$ , which can be aligned with the query sequence  $(q[1], \dots, q[m])$ . For the other subsequence in the targeting sequence starting from  $t[1+2x]$  ( $x > 0$ ), the determination may be  
 20 performed in a similar manner by replacing  $t[1]$  by  $t[1+2x]$ .

For each starting position  $(2x-1)$  ( $0 < x < n/2+1$ ) in the target sequence, the best alignment with the query sequence is found and the corresponding accumulated distance  $D_m(x)$  is obtained. In these  $n/2$  alignments, the alignments at the following  
 25 position are selected as matches with the query sequence based on  $D_m(x)$ :

$D_m(x)$  is a local minimum;

$D_m(x) < D_{thres}$ .

30 The local minimum of  $D_m(x)$  is selected as the best alignment preferably always has a smaller distance than the alignment at adjacent positions.  $D_{thres}$  is a threshold, which is to ensure that the aligned target subsequence is close enough to the query sequence. The selected target subsequences are likely candidates, on which an accurate final melody similarity will be determined.

Figure 13 illustrates data point mapping in the final melody similarity measure (425). In the mapping process all the data points of the two sequences, including the non-extreme points. The alignment of all the data points in two data sequences is based on the alignment of the extreme points of the two sequences, or melody skeleton matching. This step only aligns the non-extreme points and skipped extreme points between two not-skipped extreme points in a sequence with the non-extreme points or skipped extreme points between corresponding mapped extreme points in the other sequence. The hollow round points represent non-extreme points, hollow square points denote extreme points that are skipped in the extreme point alignment, and the solid square points are the extreme points that are mapped in the extreme point alignment process. The solid line stands for mapping of extreme points (described above in relation to Figures 11 and 12), and the dashed line stands for the mapping of non-extreme points or skipped extreme points, which is in this step. The mapped extreme points are the *skeleton points*, and the non-extreme points and not-mapped extreme points are the *non-skeleton points*.

The mapping of non-skeleton points, requires the following:

- (1) shifting of the value of the two sequence based on the aligned skeleton points; and
- (2) mapping of the non-skeleton points.

In the alignment of skeleton points, the value shifting of two sequences is based on the first point of the respective sequence. This shifting value may be biased towards the beginning points, so the shifting value is redetermined based on all the skeleton points. By denoting the pitch values of the skeleton points in the query sequence and target subsequence by  $qv_{sk}(i)$  and  $tv_{sk}(i)$ ,  $0 < i \leq L$ , the new shifting

$$\text{value is given by: } \lambda = \left( \sum_{i=1}^L qv_{sk}(i) - tv_{sk}(i) \right) / L \quad (9)$$

This new shifting value will be used in the mapping of the non-skeleton points.

Assume a skeleton point  $q(a)$  in the query sequence is mapped with the skeleton point  $t(b)$  in the target subsequence. The pair of skeleton points following these two points are  $q(a+x)$  and  $t(b+y)$  respectively. So the points  $q(a+1), \dots, q(a+x-1)$  are the non-skeleton points in the query sequence, and points  $t(b+1), \dots, t(b+y-1)$  are the non-skeleton points in targeting sequence.

Figure 14 illustrates a process for the alignment of non-skeleton points.

For each cell  $(i,j)$  in the table, a local distance value  $d(i,j)$  is calculated using the following equations:

$$d(i,j) = |qv(i) - tv(j) - \lambda| \quad (10)$$

5

where  $\lambda$  is given by equation 9 above.

The mapping of the non-skeleton points is obtained by tracing a path in the table from  $(a,b)$  to  $(a+x,b+y)$ , which has the minimum accumulated distance.

10

In this way, any non-skeleton point can be aligned by using its leading skeleton point and its following skeleton point. Finally, all points in the query sequence are mapped to the points in the target sequence. And the similarity measure between the two sequences can now be computed based on the mapping.

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Figure 15 shows six hummed queries of the tune "Happy Birthday To You" using different tempos by different subjects. Figure 15(d) shows the query hummed in normal speed. Figure 15(a) shows a faster tempo. Figure 15(e) and (f) shows slower tempos. Figure 15(b) and (c) shows inconsistent tempos. Each figure in Figure 15 shows the original query time series, line segments approximation, and the value-run domain data points. It can be seen that the melody skeleton structure formed by extreme points is almost identical for all the six queries.

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The present invention also encompasses a computer usable medium comprising a computer program code that is configured to cause at least one processor to execute one or more functions to perform the above method.

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Whilst there has been described in the foregoing description preferred embodiments of the present invention, it will be understood by those skilled in the technology that many variations or modifications in details of design, construction and methodology may be made without departing from the present invention.

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